

# Ethylene carbonylation to 3-Pentanone with in-situ hydrogen via water-gas-shift reaction on Rh/CeO<sub>2</sub>

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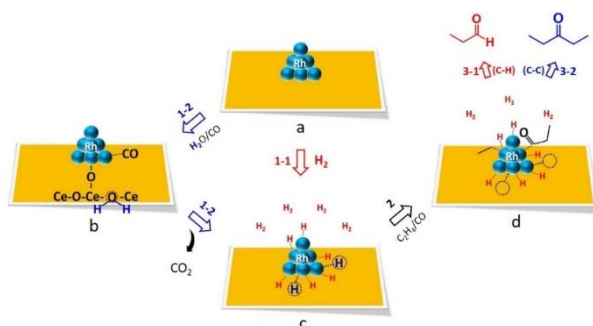
## Abstract

Alkene carbonylation, in which hydrogenation plays pivotal roles, is one of the most efficient ways for the production of oxygenated chemicals like aldehyde, amide and ester etc. In this work, by using in-situ produced hydrogen via water-gas-shift (WGS) reaction, selective ethylene carbonylation to 3-pentanone was achieved instead of hydroformylation to propionaldehyde with gaseous  $H_2$  on a defective ceria supported Rh catalyst. The interface of Rh/CeO<sub>2</sub> which consists of oxygen vacancy and positively charged Rh activates water, CO and ethylene and the subsequent reactions including WGS reaction and ethylene carbonylation. The lean hydrogen circumstance created by WGS reaction which suppresses the hydrogenation of the propionyl group and promotes its ethylation to 3-pentanone. Redox pathway was proposed for WGS reaction based on the in-situ FTIR results and the origin of hydrogen for ethylene carbonylation is water as confirmed by mass spectrometry (MS) study by using d<sub>2</sub>-water as one of the reactants. This work provides a promising way for heavier ketone synthesis.

## Introduction

Alkene carbonylation is one of the most important ways for the production of value-added chemicals<sup>1-5</sup> and two key steps are involved: CO insertion and sequential reaction of the acyl group.<sup>4</sup> The former step allows addition of one carbonyl group to the carbon chain and the latter one determines the nature of the products. For example, alcoholysis of the acyl group produces ester,<sup>5-6</sup> while hydrogenation of the acyl group produces aldehyde which is known as hydroformylation.<sup>1</sup> Insertion of CO followed by creation of C-C bond holds the promise to produce ketone,<sup>7-8</sup> which is another important family of organic compounds and widely used as solvents, polymer precursors, and pharmaceuticals.<sup>9</sup> For instance, 3-pentanone is always used as a solvent in paint and a precursor to vitamin E and current production of 3-pentanone from ethylene follows a two-step process involving ethylene hydroformylation to propanal, followed by propanal ketonization to 3-pentanone under oxidative conditions.<sup>10-11</sup> Ethylene hydroformylation always generates 3-pentanone as one of the byproducts which provides a potential route for one-step synthesis of 3-pentanone if the main product of ethylene hydroformylation can be tuned toward 3-pentanone instead of propanal (Scheme S1).<sup>12-13</sup> Recently, Sun et al. reported that, on a single-atom Ru catalyst, the selectivity of 3-pentanone in ethylene hydroformylation can be greatly improved due to the lower energy barrier of propionyl ethylation compared with that of

propionyl hydrogenation.<sup>14</sup> However, propionyl hydrogenation overwhelmingly dominates which always produces propanal as the main product due to the surplus hydrogen on Rh catalysts at ethylene hydroformylation conditions (Scheme 1, route 1-1 to 3-1). For example, Takahashi and coworkers discovered that Rh/Active carbon was an effective catalyst for 3-pentanone production via ethylene hydroformylation but still as the minor product.<sup>15</sup>



**Scheme 1.** Ethylene carbonylation with H<sub>2</sub> (red) or in-situ produced H (blue) via water-gas-shift (WGS) reaction.

In-situ generated hydrogen, which is widely used for selective hydrogenation reactions,<sup>16-17</sup> holds the promise to suppress the propionyl hydrogenation and boost the ethylation of propionyl group to 3-pentanone. Coupled with alcohol dehydrogenation-transfer-hydrogenation, ethylene carbonylation to 3-pentanone was attained with production of 1,1-diethoxyethane or acetone<sup>8</sup> as the byproduct and water-gas-shift (WGS) reaction in which water acts as a clean hydrogen source, is one of the most important processes for on-site hydrogen production. Ceria supported metal catalysts show excellent performance for WGS reaction due to their unique properties like the interfacial sites

constructed by ceria oxygen vacancy and the positively charged metal.<sup>18-</sup>

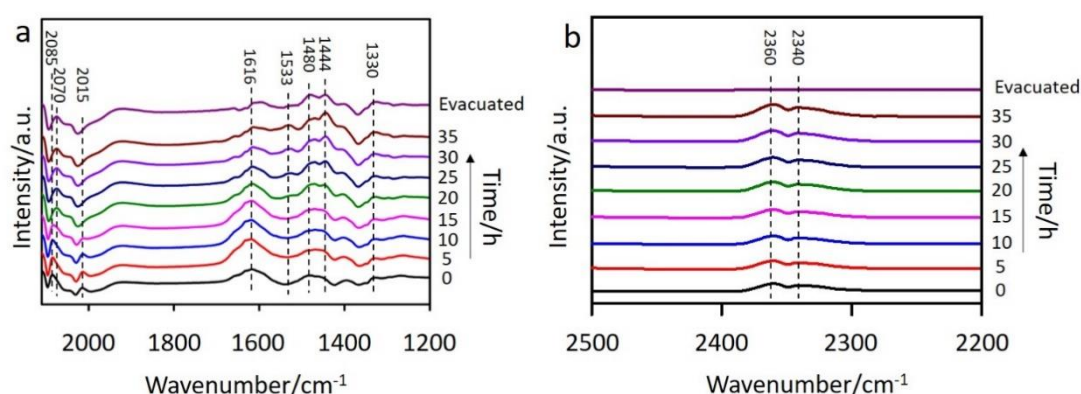
<sup>21</sup> Two different mechanisms, redox and formate processes, were proposed for the low temperature WGS reaction on ceria supported metal catalysts.<sup>18, 20, 22-24</sup> For example, Gorte et al. proposed that metal activated CO was oxidized by lattice oxygen of ceria and the reduced ceria with oxygen vacancies, in turn, was oxidized by H<sub>2</sub>O,<sup>20</sup> while Rodriguez et al. reported that formate which decomposed to CO<sub>2</sub> and H<sub>2</sub> was the key intermediate.<sup>25-26</sup> But both mechanisms agree that CO and H<sub>2</sub>O were, respectively, activated on the supported metal and oxygen vacancies of ceria (Scheme 1b) and it is well-known that alkene is activated on the supported metal which highlight the crucial roles of the interface of ceria supported metal catalysts.

In this work, by using the in-situ generated hydrogen via WGS reaction, selective ethylene carbonylation to 3-pentanone was achieved on a defective Rh/ceria (Scheme 1, route 1-2 to 3-2). CO and water were activated on positively charged Rh and oxygen vacancies, respectively, and the WGS reaction proceeds via a redox pathway as the hydrogencarbonate species were merely observed in the in-situ FTIR spectra. Mass spectrometry study with d<sub>2</sub>-water confirmed that the hydrogen source for ethylene carbonylation to 3-pentanone truly originated from water and this route provides an alternative method for producing heavier ketone via alkene carbonylation.

## Results and discussion

In-situ FTIR spectroscopy was firstly used to study the WGS reaction on Rh/CeO<sub>2</sub> at near carbonylation reaction conditions and the in-situ FTIR spectra collected are shown in Figure 1. Besides the bands at 2085 and 2015 cm<sup>-1</sup> which are attributed to the positively charged Rh carbonyl species and the band at 2070 cm<sup>-1</sup> which is ascribed to the linear-CO adsorbed on Rh<sup>0</sup> (Figure 1a),<sup>27</sup> two bands at 2360 and 2340 cm<sup>-1</sup> which arise from CO<sub>2</sub> were also observed once the reaction was started at 160 °C (Figure 1b). Moreover, a broad band centered at 1616 cm<sup>-1</sup> which is assigned to the hydrogencarbonate species appeared and other bands ranging from 1300 to 1500 cm<sup>-1</sup> are attributed to the carbonate species.<sup>28</sup> With prolonging the reaction time, the band at 1616 cm<sup>-1</sup> firstly became stronger and then gradually weakened which indicates that the hydrogencarbonate species is a vital intermediate (Scheme S2). Meanwhile, intensity of the bands at 2085 and 2015 cm<sup>-1</sup> which are from the positively charged Rh carbonyl species decreased, while the band at 2070 cm<sup>-1</sup> which arises from the Rh<sup>0</sup> carbonyl species kept almost unchanged. In addition, the CO<sub>2</sub> related bands at 2360 and 2340 cm<sup>-1</sup> gets stronger, while no band related to the formate was observed. The bands at 2085 and 2015 cm<sup>-1</sup> disappeared after 20 mins of reaction and, concurrently, the band at 1616 cm<sup>-1</sup> started to weaken. This implies that the hydrogencarbonate species was produced from CO adsorbed on the

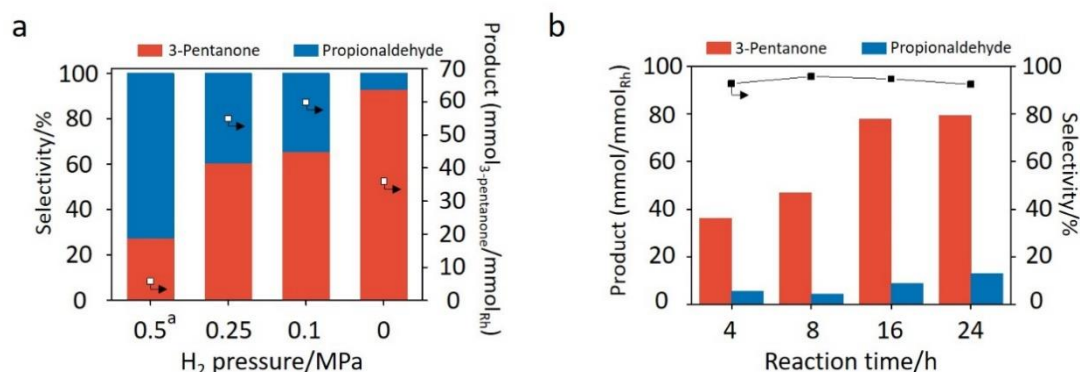
positively charged Rh. A new band at  $1533\text{ cm}^{-1}$  which is ascribed to the unidentate carbonates was observed and this band along with the carbonates bands at  $1330$ ,  $1444$  and  $1480\text{ cm}^{-1}$  became stronger with extending the reaction time. After evacuation, the gaseous  $\text{CO}_2$  was removed while the adsorbed species on the catalyst like the carbonates and  $\text{Rh}^0$  carbonyl species remain almost intact. These results demonstrate that WGS reaction proceeds via a redox pathway in which hydrogencarbonate species is generated as a key intermediate and the interfacial positively charged Rh activates CO for WGS reaction on  $\text{Rh}/\text{CeO}_2$  at near carbonylation conditions.



**Figure 1.** In-situ FTIR spectra of WGS reaction on  $\text{Rh}/\text{CeO}_2$ .

$\text{Rh}/\text{CeO}_2$  was then used for ethylene carbonylation with  $\text{H}_2$  or in-situ produced hydrogen via WGS reaction. Propionaldehyde was produced as the major product which process is well known for hydroformylation when gaseous  $\text{H}_2$  (0.5 MPa) was used as the hydrogen source (Figure 2a). The selectivity of 3-pentanone increased with lowering the  $\text{H}_2$  pressure and 60 mmol/mmol $_{\text{Rh}}$  3-pentanone was obtained at  $t=4\text{ h}$  with a selectivity of 65 %

when 0.1 MPa H<sub>2</sub> was used. When H<sub>2</sub>O was used as the sole hydrogen source via WGS reaction, as expected, high selectivity (92 %) of 3-pentanone was achieved although the lean hydrogen circumstance results in the lowered yield of 3-pentanone (36 mmol/mmol<sub>Rh</sub>) at t=4 h. With prolonging the reaction time, the yield of 3-pentanone increased with almost unchanged selectivity and 3-pentanone yield can reach 80 mmol/mmol<sub>Rh</sub> with 13 mmol/mmol<sub>Rh</sub> propionaldehyde at t=24 h (Figure 2b). No carbonylation products were observed over bare CeO<sub>2</sub> (not shown for clarity) and only trace amounts of carbonylation products were produced on SiO<sub>2</sub> and TiO<sub>2</sub> supported Rh catalysts and ceria supported Ru and Pt catalysts (Figure S1).

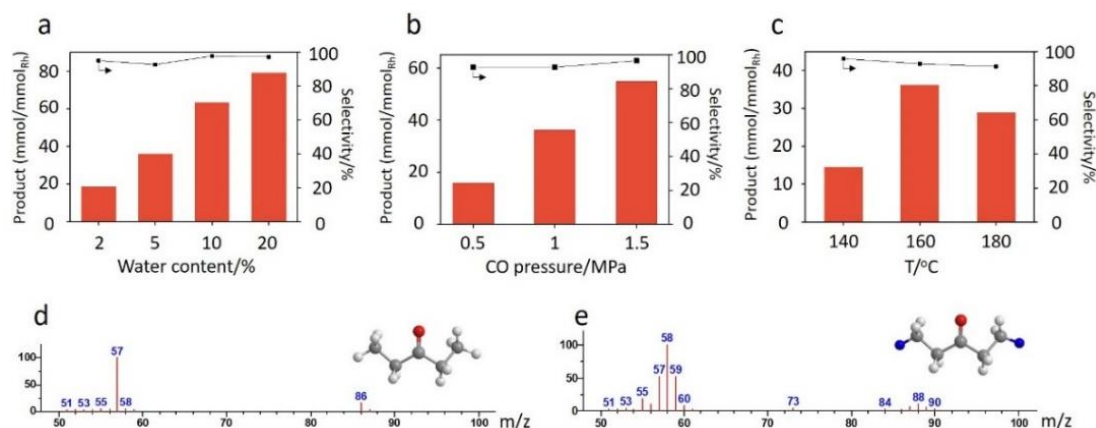


**Figure 2.** Ethylene carbonylation on Rh/CeO<sub>2</sub> at different H<sub>2</sub> pressure (a); various reaction times (b). (Reaction conditions: 50 mg Rh/CeO<sub>2</sub>, 3 mmol ethylene, 1.5 MPa H<sub>2</sub>/CO, 3 ml 1,4-dioxane, 0.15 ml water, 1 mmol n-Dodecane as the internal standard, 160 °C, 4 h; a: no water was added)

To confirm the participation of water and CO, various amounts of water and CO pressures were used to study their effects on both the yield and selectivity of 3-pentanone (Figure 3a and b). Limited amount of 3-pentanone (18 mmol/mmol<sub>Rh</sub>) was obtained when 2 % water was used, although the selectivity was high (95 %). With increasing the water

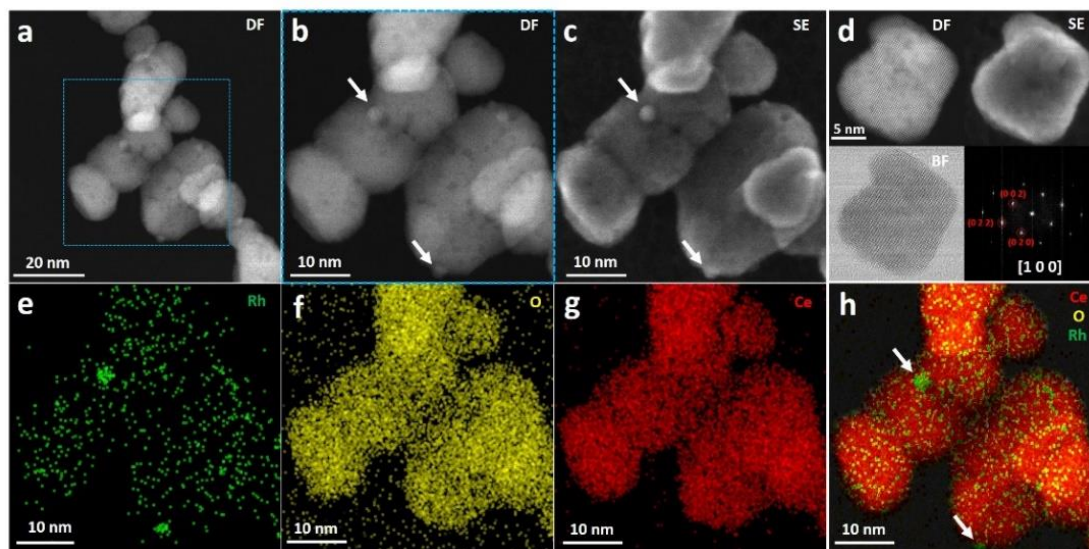


amount, the selectivity kept unchanged and yield gradually increased. Up to 80 mmol<sub>3-pentanone</sub>/mmol<sub>Rh</sub> was produced when 20 % water was added. In regular water, the molecular ion peak of 3-pentanone was  $m/z=86$  and the strongest ion peak was  $m/z=57$  which belongs to the fragment ion of  $\text{CH}_3\text{CH}_2\text{CO}$ -(Figure 3d). When the water was replaced by  $\text{D}_2\text{O}$ , the molecular ion peak of 3-pentanone moved to  $m/z=88$  and the  $m/z=58$  was the strongest ion peak which could be assigned to the fragment ion of  $\text{C(D)H}_2\text{CH}_2\text{CO}$ -(Figure 3e). The isotopic tracing experiments confirmed that the H for ethylene carbonylation originated from water and located at the C(1) and C(5) sites of 3-pentanone and C(1) and C(3) sites of propionaldehyde which was produced by ethylene hydroformylation as the byproduct (Figure S2). Increasing the CO pressure also boosted the production of 3-pentanone and the yield of 3-pentanone was increased from 15 to 55 mmol/mmol<sub>Rh</sub> when 1.5 MPa CO was charged into the reactor instead of 0.5 MPa (Figure 3b). The effect of temperature was also studied and it was found that the highest yield of 3-pentanone (36 mmol/mmol<sub>Rh</sub>) was obtained when the reaction was done at 160 °C (Figure 3c). This should come from the fact that elimination reaction on Rh, which is an exothermic reaction, is unfavorable at higher temperature.



**Figure 3.** Ethylene carbonylation on Rh/CeO<sub>2</sub> at various reaction conditions: different water contents (a); different CO pressure (b) and different temperature (c). Mass spectra of 3-pentanone produced from ethylene carbonylation with H<sub>2</sub>O (d) and D<sub>2</sub>O (e). (Reaction conditions: 50 mg Rh/CeO<sub>2</sub>, 3 mmol ethylene, 1.5 MPa CO, 3 ml 1,4-dioxane, 0.15 ml water except a, 1 mmol n-Dodecane as the internal standard, 160 °C, 4 h)

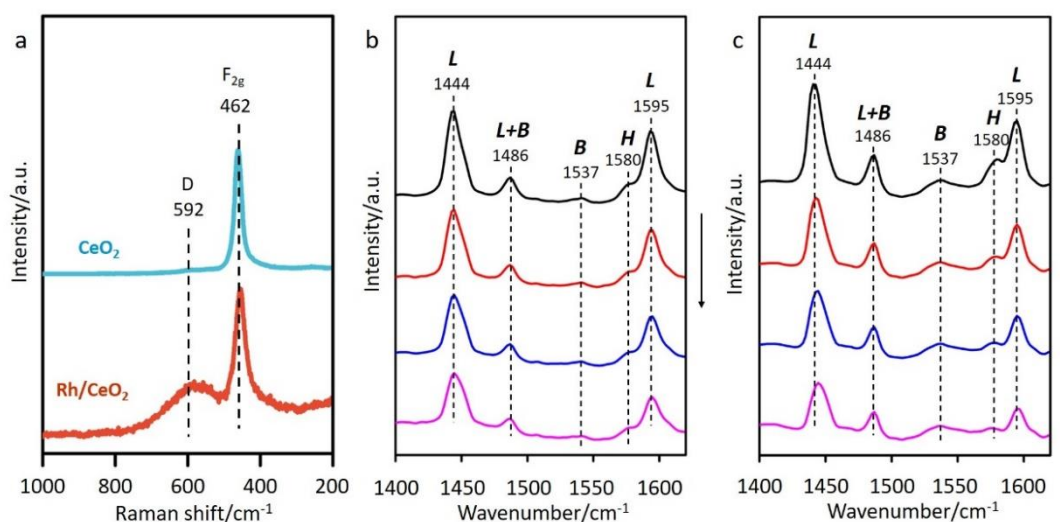
Figure 4a-d show scanning transmission electron microscopy (STEM) images of the Rh/CeO<sub>2</sub> and corresponding spatially resolved energy dispersive X-ray (EDX) maps of the Rh/CeO<sub>2</sub> are exhibited in Figure 4e-h. Dark field (DF) and secondary electron (SE) STEM images show that ceria particle size is around 10 nm and a few small Rh nanoparticles (about 2 nm in diameter, in Figure S3) are located on the surface of ceria. Highly dispersed Rh species with trace amounts of small Rh nanoparticles were also revealed by EDX-mapping of the Rh/CeO<sub>2</sub> and this is in accordance with the FTIR study which indicates that both positively charged and metallic Rh are present on ceria.



**Figure 4.** Dark field (DF), bright field (BF), and secondary electron (SE) STEM images (a-d) and corresponding EDX elemental mapping of Rh (e), O (f), Ce (g) and Rh + O +Ce mixture of Rh/CeO<sub>2</sub> catalyst

Raman spectra of Rh/CeO<sub>2</sub> and bare CeO<sub>2</sub> are shown in Figure 5a. Two characteristic Raman bands at 592 cm<sup>-1</sup> and 462 cm<sup>-1</sup>, which are assigned to the defect-induced mode and F2g mode of CeO<sub>2</sub> respectively,<sup>29-30</sup> were observed. The relative intensity ratio of I<sub>592</sub>/I<sub>462</sub> was significantly enhanced after Rh was supported on ceria. This comes from the fact that metal-support interactions between Rh and ceria greatly promotes the interfacial oxygen vacancy formation via Rh<sup>δ+</sup>-O-Ce-O<sub>v</sub>-Ce.<sup>8, 31-32</sup> When ethylene was adsorbed on the Rh/CeO<sub>2</sub>, three IR bands at 2875 cm<sup>-1</sup>, 2940 cm<sup>-1</sup> and 2960 cm<sup>-1</sup> which were assigned to the di-σ-bonded ethylene appeared and this indicates that ethylene mainly adsorbed on the highly dispersed Rh clusters or nanoparticles (Figure S4). Figure 5b and 5c show the IR spectra of adsorbed pyridine on Rh/CeO<sub>2</sub> before and after water adsorption, respectively. In addition to the bands attributed to Lewis acid sites (1444, 1486 and 1595 cm<sup>-1</sup>), only a faint peak at 1537 cm<sup>-1</sup>

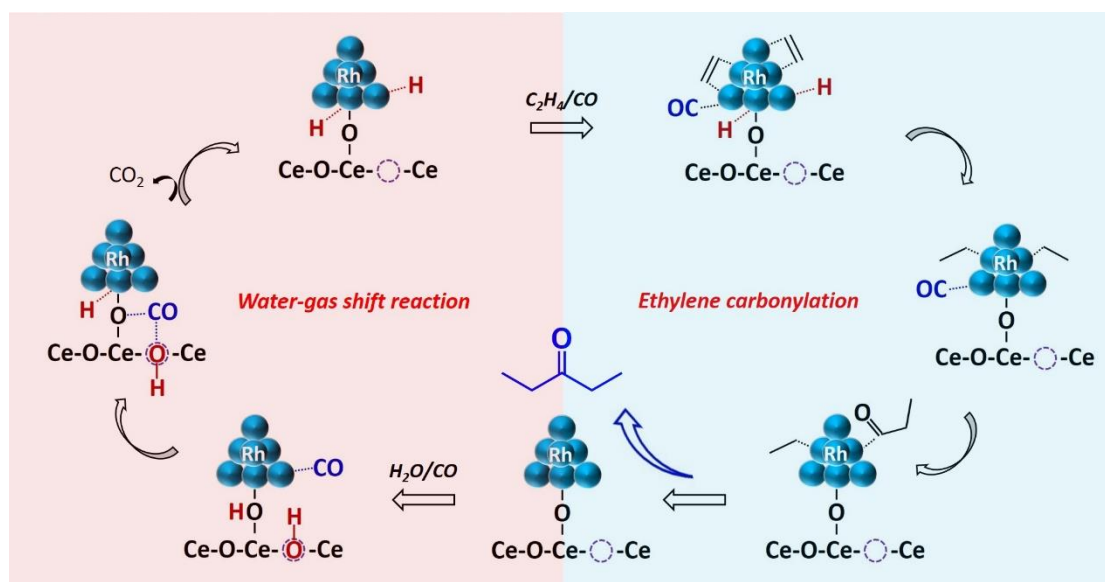
<sup>1</sup> which was assigned to the pyridine adsorbed on Brønsted acid sites and a weak shoulder peak at 1580 cm<sup>-1</sup> corresponding to H-bonded pyridine were observed on Rh/CeO<sub>2</sub> without water pre-adsorption.<sup>33-34</sup> After water was pre-adsorbed on the Rh/CeO<sub>2</sub> catalyst, the peak at 1537 cm<sup>-1</sup> and 1580 cm<sup>-1</sup> became stronger. But no pyridine adsorbed on Brønsted acid sites was observed when water was pre-adsorbed on pure CeO<sub>2</sub> (Figure S5). This indicates that interfacial oxygen vacancies of Rh/CeO<sub>2</sub> promotes the dissociatively adsorption of water.



**Figure 5.** Raman spectra of Rh/CeO<sub>2</sub> and CeO<sub>2</sub> excited at 532 nm (a), FTIR spectra after pyridine adsorption and evacuation in vacuo on Rh/CeO<sub>2</sub> before (b) and after (c) water adsorption (The arrow indicates desorbing sequence of pyridine in vacuo).

Based on our results, we proposed the following pathway for ethylene carbonylation to 3-pentanone with in-situ produced H via WGS reaction on defective Rh/CeO<sub>2</sub> (Scheme 2): firstly, water was dissociatively adsorbed on the oxygen vacancy of ceria, while CO and ethylene were activated on Rh. The CO on positively charged Rh reacted with the hydroxyl groups produced from water to generate hydrogencarbonate

species. Then the hydrogencarbonate species decomposed: H was transferred onto the Rh surface and oxygen vacancy of ceria restored when  $\text{CO}_2$  was released from the ceria surface. The activated ethylene captured the H on Rh from water to form ethyl group followed by CO insertion. The generated propionyl group finally reacted with another ethyl group to produce 3-pentanone and if surplus H covered the Rh surface, like  $\text{H}_2$  was used as the H source, the propionyl group would be hydrogenated to propionaldehyde.



**Scheme 2.** Proposed mechanism of ethylene carbonylation coupled with water-gas shift reaction to 3-pentanone on defective Rh/CeO<sub>2</sub>.

## Conclusion

Coupled with water-gas-shift (WGS) reaction, highly selective ethylene carbonylation to 3-pentanone was achieved on a defective ceria supported Rh catalyst. Water, which donates the hydrogen for ethylene carbonylation as confirmed by MS study, is dissociated on the oxygen

vacancies of ceria, CO is activated on positively charged Rh and the subsequent steps for WGS reaction proceed via a redox mechanism as revealed by the in-situ FTIR study. When gaseous H<sub>2</sub> was involved, the selectivity of 3-pentanone decreased due to the parallel reaction of ethylene hydroformylation which underlines the key role of lean hydrogen produced via WGS reaction for selective hydrogenation. This work opens an alternative route for heavier ketone synthesis.

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# Supporting information

Ethylene carbonylation to 3-Pentanone with in-situ hydrogen via water-gas-shift reaction on Rh/CeO<sub>2</sub>

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## **Experimental section**

### **1. Catalyst Preparation**

CeO<sub>2</sub> nanoparticles were prepared through a conventional precipitation method. Typically, 15 g Ce(NO<sub>3</sub>)<sub>3</sub>·6H<sub>2</sub>O was dissolved in 300 mL DI water. Then ammonia solution was added dropwise to the cerium solution to obtain Ce(OH)<sub>3</sub>, with the pH value of the resulting solution controlled at around 11. After stirring overnight, the precipitate was washed by filtration. The solid was dried at 70 °C overnight and then calcined at 500 °C for 4 h in muffle furnace. The Rh/CeO<sub>2</sub> was prepared by wet impregnation method using RhCl<sub>3</sub>·3H<sub>2</sub>O as the Rh source: firstly, 2g CeO<sub>2</sub> nanoparticles was added to an aqueous solution containing certain amount of Rhodium(III) chloride trihydrate. After stirring overnight, the suspension was dried at 120 °C under stirring. The solid was calcined at 200 °C for 4 h under air atmosphere and then reduced at 200 °C for 4 h in a tube-furnace with H<sub>2</sub>.

### **2. Characterization**

#### **HRTEM:**

Dark field scanning transmission electron microscopy (DF-STEM) images were acquired on a Hitachi HF5000 at Ernst Ruska Centre for Microscopy and Spectroscopy with Electrons and Peter Grünberg Institute (Forschungszentrum Juelich GmbH, Juelich, Germany). The electron microscope was operated at 200 kV, which is a cold FEG TEM/STEM with a Cs probe corrector. Compositional maps were obtained by energy-dispersive X-ray spectroscopy (EDX) analysis using double EDX Ultra 100 detector from Oxford Instruments.

#### **Raman spectroscopy:**

Raman spectra were measured on a Renishaw Company spectrograph with spectral

resolution of 2 cm<sup>-1</sup>. The laser line at 532 nm of a solid laser was used as the exciting source and the power of the laser, measured at the samples, was about 0.3 mW.

#### **Py-IR:**

Self-supporting wafers (10-20 mg/cm<sup>2</sup>) were pressed and sealed in an IR heatable cell. The sample was reduced with H<sub>2</sub> at 200 °C for 0.5 h and cooled to 30 °C under dynamic vacuum. At this point, a baseline spectrum was recorded. Then pyridine was introduced and spectra were acquired after the cell was evacuated under dynamic vacuum for every 20 min. To study the water adsorption, water was introduced into the IR cell after it was cooled to 30 °C before the baseline spectrum was recorded.

#### **In-situ FTIR:**

Self-supporting wafers (10-20 mg/cm<sup>2</sup>) were pressed and sealed in a heatable IR cell. The sample was reduced with H<sub>2</sub> at 200 °C for 0.5 h and cooled to 30 °C under dynamic vacuum. At this point, a baseline spectrum was recorded. Subsequent heating to 160 °C was performed at 10 °C/min, with a subsequent hold time of 10 min prior to acquisition of another base line spectrum. After the cell cooled to 30 °C, water was introduced into the cell by flowing CO through a water bubbler. The cell was heated to 160 °C to trigger the reaction and a series of representative FTIR spectra was recorded every 5 min.

#### **GCMS:**

Gas chromatograph-mass spectrometer (GC-MS) was measured on Agilent 7890-5975C instrument under the EI ionization model.

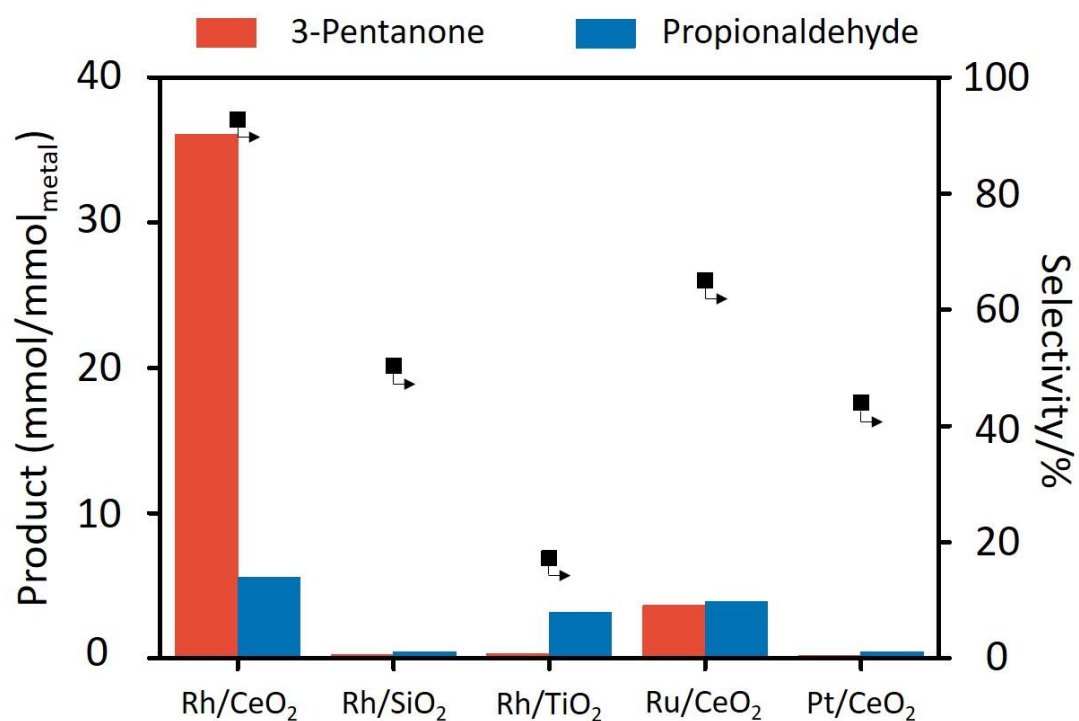
### **3. Catalytic Reactions**

The catalytic reactions were carried out in a Teflon-lined stainless-steel autoclave. In a typical procedure, 50 mg catalyst, 3 ml 1,4-dioxane, 1 mmol n-Dodecane as the internal standard and 150 ul water were loaded into the reactor. The reactor was sealed and purged with Ar for three times. Then ethylene and CO were charged into the reactor to a certain pressure. The reactor was placed in a temperature-controlled steel stainless band heater. After quenching the reactor in an ice bath, products were

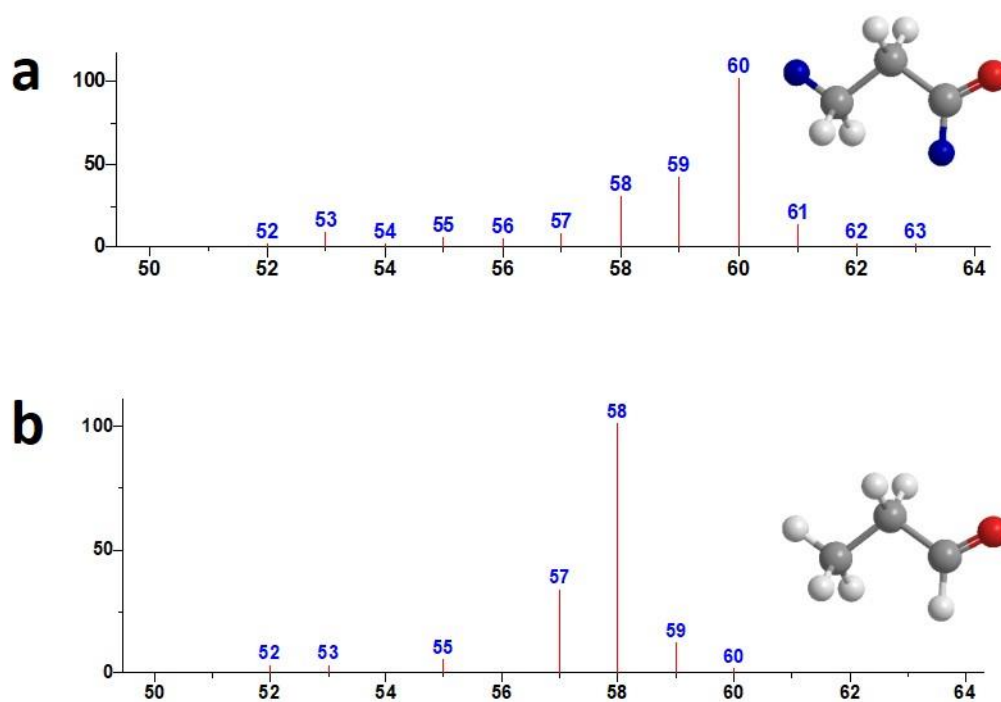
collected and analyzed by gas chromatography (GC) with an HP-5 column.

Produced 3-pentanone is given as  $\text{mmol}_{3\text{-pentanone}}/\text{mmol}_{\text{Rh}}$ .

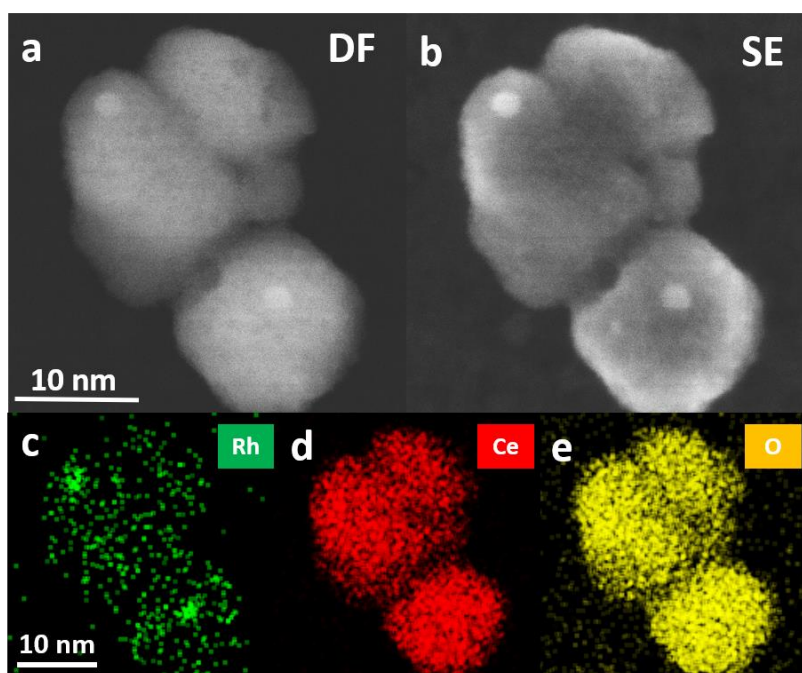
Selectivity is reported relative to all the products detected and given as  $100 \% \cdot (\text{m}_{\text{propanal}} \text{ or } 2 \cdot \text{m}_{3\text{-pentanone}}) / (2 \cdot \text{m}_{3\text{-pentanone}} + \text{m}_{\text{propanal}})$ .



**Figure S1.** (Reaction conditions: 50 mg catalyst, 3 mmol ethylene, 1.4 MPa CO, 3 ml 1,4-dioxane, 0.15 ml water, 1 mmol n-Dodecane as the internal standard, 160 °C, 4 h)

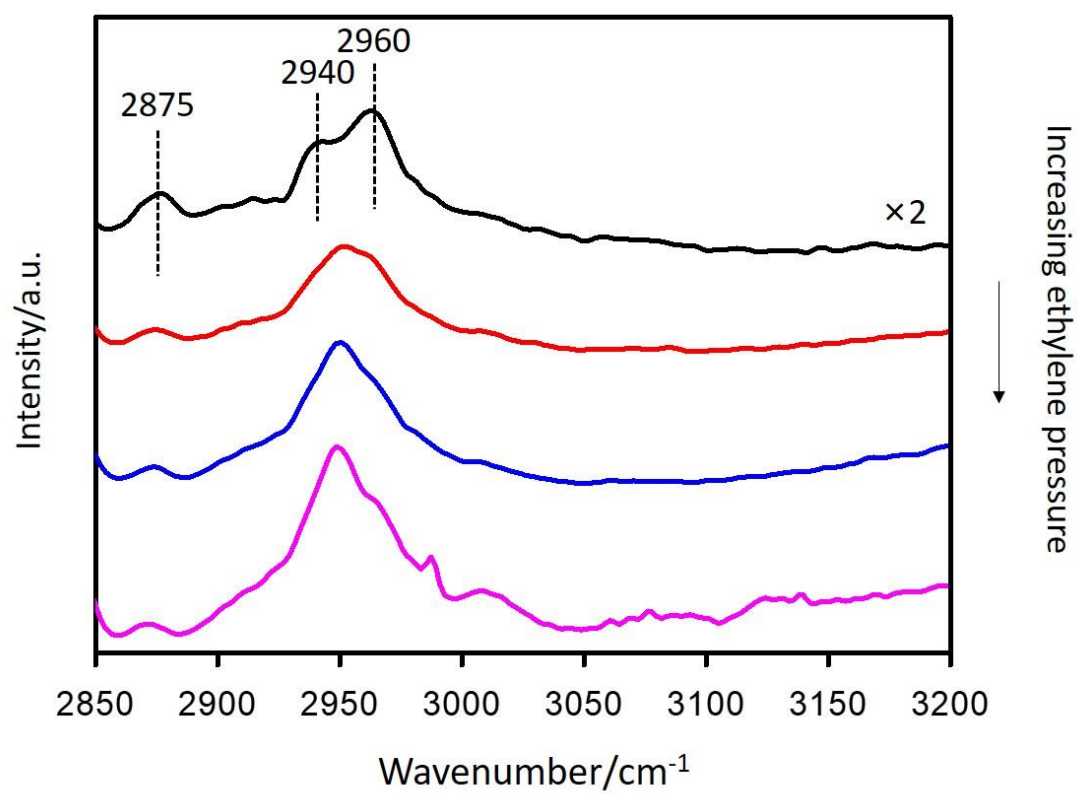


**Figure S2.** Mass spectra of propylaldehyde produced from ethylene carbonylation with (a) D<sub>2</sub>O and (e) H<sub>2</sub>O. (Reaction conditions: 50 mg Rh/CeO<sub>2</sub>, 3 mmol ethylene, 1.4 MPa CO, 3 ml 1,4-dioxane, 0.15 ml water, 1 mmol n-Dodecane as the internal standard, 160 °C, 4 h)

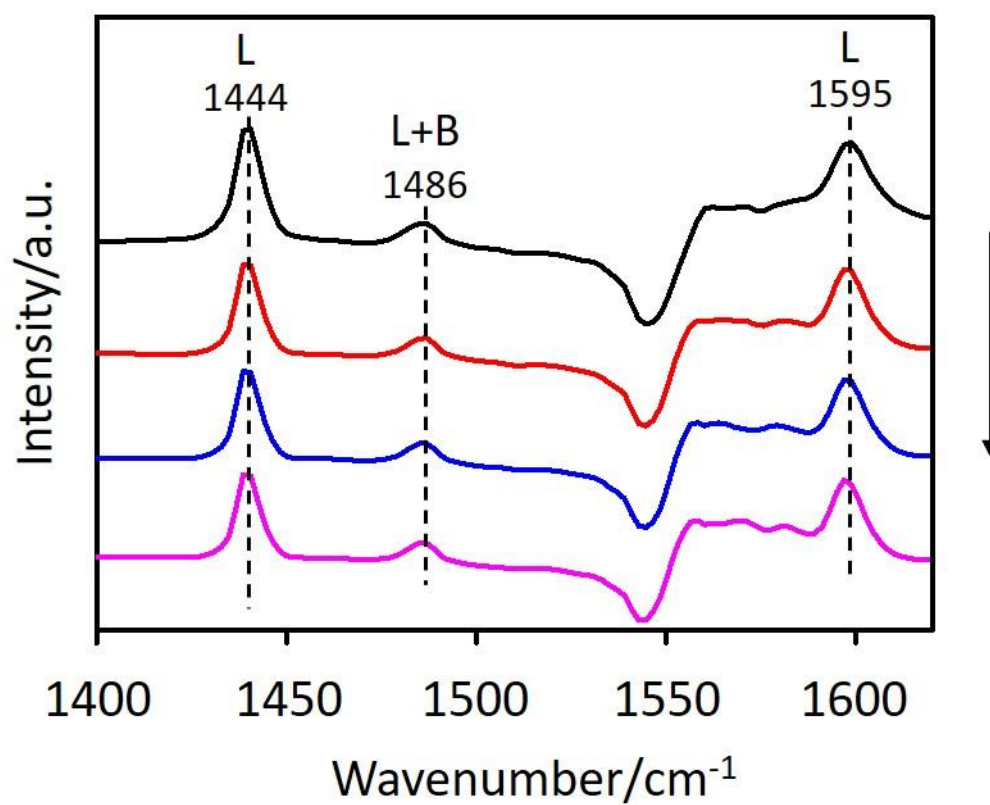


**Figure S3.** Dark field (DF) and secondary electron (SE) STEM images (a, b) and the corresponding EDX elemental mapping of Rh (c), Ce (d) and O (e) of Rh/CeO<sub>2</sub> catalyst

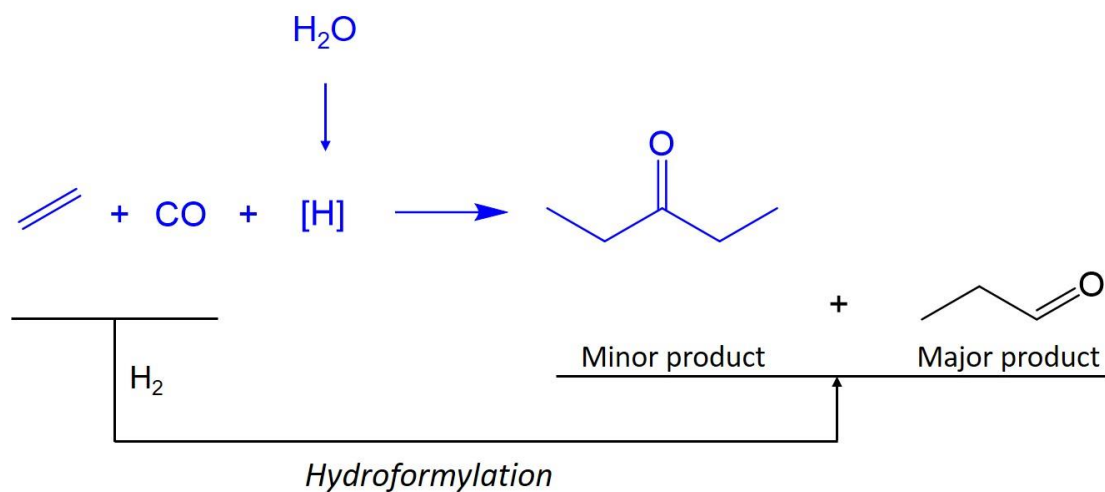




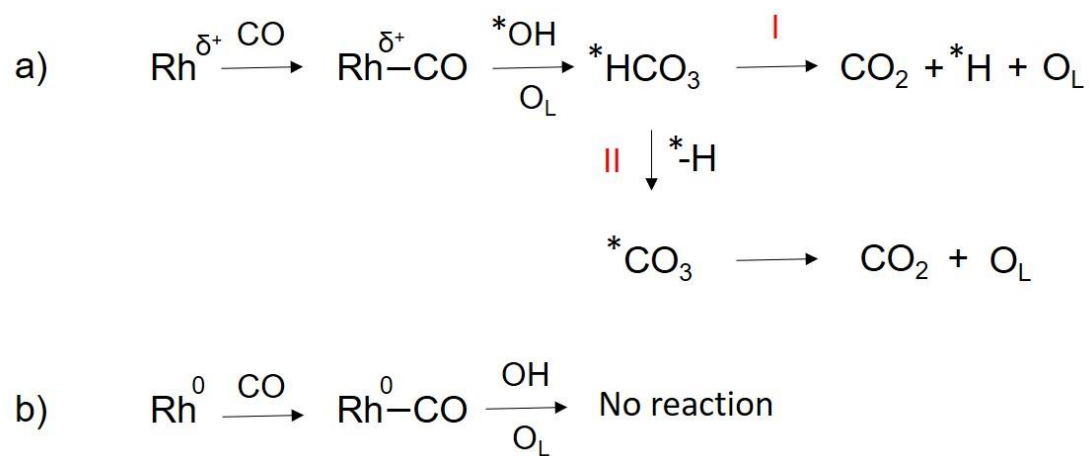
**Figure S4.** IR spectra of ethylene adsorbed on Rh/CeO<sub>2</sub> in the presence of water.



**Figure S5.** FTIR spectra after pyridine adsorption and evacuation in vacuo on Rh/CeO<sub>2</sub> after water pre-adsorption (The arrow indicates desorbing sequence of pyridine in vacuo).



**Scheme S1.** Schematic ethylene carbonylation to 3-pentanone with in-situ generated H via water gas shift reaction and ethylene hydroformylation with gaseous  $\text{H}_2$ .



**Scheme S2.** Proposed water-gas-shift reaction mechanism on Rh/CeO<sub>2</sub>.